

Lemma 8.1. If  $s \geq 2k$  ( $k$  odd), or  $s \geq 4k$  ( $k$  even),

then  $x(p) > 0$  for all  $p \in P$  and  $N \in N$ .

Pf It is enough to show that  $x_1^k + \dots + x_s^k \equiv N \pmod{p^r}$  (8.1)

(where  $\gamma = \tau + 1$  or  $\gamma = \tau + 2$  with  $p^\tau \parallel k$ , resp. for  $p > 2$  or  $p = 2$ ),  
has solution with  $x_1, \dots, x_s$  not all divisible by  $p$ .

If  $N \neq 0$  then for any solution to (8.1),  $\exists i$  s.t.  $x_i \not\equiv 0 \pmod{p}$ .

If  $N = 0$  we'll find a sol. to  $x_1^k + \dots + x_{s-1}^k + 1 \equiv N \pmod{p^r}$

$$\Leftrightarrow x_1^k + \dots + x_{s-1}^k \equiv N' = N - 1 \pmod{p^r} \text{ with } N' \neq 0 \pmod{p^r}$$

Thus wlog if  $s$  replaced by  $s-1$  then enough  
to find a solution to (8.1) for  $N \neq 0 \pmod{p}$   $\Leftrightarrow N \in \mathbb{Z}_{p^r}^\times$

Write  $N' \backslash N$  if  $\exists z \in \mathbb{Z}_{p^r}^\times$  s.t.  $N' \equiv z^e N \pmod{p^r}$ .

Then solving (8.1) for  $N$  or  $N'$  is equivalent by  
a change of variables  $x'_i = zx_i \Rightarrow \sum (x'_i)^k \equiv z^k \sum x_i^k \equiv N' \pmod{p^r}$ .

Let  $S(N) = \min \{ s \in N; \text{s.t. } \exists x_1, \dots, x_s : x_1^k + \dots + x_s^k \equiv N \pmod{p^r} \}$ .

Want to prove  $S(N) \leq 2k-1 \quad \forall N \in \mathbb{Z}_{p^r}^\times$  if  $k$  odd  
and  $S(N) \leq 4k-1 \quad \forall N \in \mathbb{Z}_{p^r}^\times$  ( $k$  even)

By the above symmetry observation :  $S(N) = S(N')$  if  
 $N \sim N'$  i.e. if  $N' = z^k N$  with  $z \in \mathbb{Z}_{p^r}^*$ .

Let  $G_k = \{z^k, z \in \mathbb{Z}_{p^r}^*\} \leq \mathbb{Z}_{p^r}^*$  subgroup.

Claim:  $G_k = \frac{p-1}{d}$  ;  $d = (\ell, p-1)$  ; assuming  $p > 2$

Pf Let  $m \in \mathbb{Z}_{p^r}^*$ ,  $m \neq 0(p)$  say  $m = g^b$  ;  $g$  is primitive root  
If  $z = g^a \pmod{p^r}$  then  $z^\ell \equiv m \pmod{p^r}$   
 $\Leftrightarrow g^{ak} \equiv g^b \pmod{p^r} \Leftrightarrow ak \equiv b \pmod{p^{r-1}(p-1)}$   
 $\exists$  such  $a$  if and only if  $p^{r-1} \mid b$  and  $(\ell, p-1) \mid b$   
 $\Rightarrow |G_k| = \#\{b \pmod{p^{r-1}(p-1)} ; p^{r-1}(\ell, p-1) \mid b\} =$   
 $= \frac{p^{r-1}(p-1)}{p^{r-1}(\ell, p-1)} = \frac{p-1}{d} ; d = (\ell, p-1).$

Now, for given  $s \geq 1$  let  $N_s = \#\{N \in \mathbb{Z}_{p^r}^* ; S(N) = s\}$

E.g.  $N_1 = |G_k|$  so  $= \#\{N \in \mathbb{Z}_{p^r}^* ; \exists x_1, x_s ; x_1^k + \dots + x_s^k \equiv N \pmod{p^r}\}$   
 $0 < N_1 < \mathbb{Z}_{p^r}^*$  but  $y_1^k + \dots + y_{s-1}^k \not\equiv N \pmod{p^r}$

For some  $s$  one may have  $N_s = 0$ , but  $\{y_1, \dots, y_{s-1}\}$

if  $N_s > 0$  then either  $N_{s+1} > 0$  or  $N_{s+2} > 0$

Indeed, if  $x_1^k + \dots + x_s^k \equiv N \pmod{p^r}$  then  $x_1^k + x_s^k + 1 \equiv N+1 \pmod{p^r}$   
 $x_1^k + x_s^k + 1 + 1 \equiv N+2 \pmod{p^r}$

and  $p \nmid N+1$  or  $p \nmid N+2$ ,

Also if  $N_s > 0$  then  $N_s \geq \frac{p-1}{d}$ ; let say we have

$\boxed{N_1, \dots, N_m} \neq 0$  so  $s(N) \leq m$  for all  $N \in \mathbb{Z}_{p^r}^\times$ .  $m = \max_s \{N_s \neq 0\}$

$$\text{Then } p^{r-1}(p-1) = N_1 + \dots + N_m \geq \frac{m+1}{2} \frac{p-1}{d}$$

$\uparrow$   
# of non-empty  $N_s'$

$$\Rightarrow m+1 \leq \frac{2 p^{r-1}(p-1)}{(p-1)d} = 2 p^{r-1}(k, p-1) = 2 p^{r-1}(k_0, p-1)$$

$$\leq 2 p^{r-1} k_0 = 2 p^r k_0 = 2 k$$

as  $k = p^r k_0 = p^{r-1} k_0$  and  $(k, p-1) = (k_0, p-1)$ .

$$\Rightarrow m \leq 2k-1$$

Suppose  $p=2$

$$g^{\frac{k_0}{2}} \equiv g^k \pmod{2^{r-1}} \quad k_0 \equiv k \pmod{2^{r-1}}$$

- If  $k$  odd  $\Leftrightarrow r=0$ , then  $x^k \equiv N \pmod{2^r}$  solvable  
 $\Rightarrow x^k + 1 \equiv N \pmod{2^r}$  for  $N \neq 0 \pmod{2^r}$   
 $\Rightarrow s \leq 3 < 4k$  for  $N \equiv 0 \pmod{2^r}$

- If  $r \geq 1$  so  $k = 2^r k_0$ , then for  $N$  odd,

we simply taking  $x_j = 0, 1$  for all  $1 \leq j \leq s := 2^r - 1$   
 we have that (5.12) has a solution for all  $0 < N < 2^r$ .

Thus  $s = 2^r - 1 = 2^{r+2} - 1 = 4k - 1$  works

□

Note This argument for  $p=2$ ; and  $k$ -even looks very crude, but sharp for many  $k$ 's.

ANDE VIII/4

Let  $\Gamma(k) = \min \{ S; \text{s.t. (5.12) has a solution with } \sum_{i=1}^k x_i \not\equiv 0 \pmod{p} \text{ for all } p \text{ and } N \}$ ,

Then by Hardy-Littlewood; one has

$k$	3	$4^*$	5	6	7	$8^*$	$\dots$	$16^*$
$\Gamma(k)$	4	16	5	9	4	32	$\dots$	64

Summarizing, we have proved

Thm 8.1. If  $S \geq 2^k + 1$  then  $\Omega(N) \geq C(k, S) > 0$

for all  $N$ .

Note We have that  $x(p) > 0 \nmid p$ , if  $S \geq 2k$  or  $S \geq 4k$  and need the much stronger condition  $S \geq 2^k + 1$ ,

for the conv. of  $\prod_p x(p)$  i.e.

for the estimate  $|x(p) - 1| \leq p^{-1-\delta}$ .

This can be improved vastly!