

the singular series

$$\sigma(N) = \sum_{q=1}^{\infty} \sum_{\substack{\alpha=1 \\ (\alpha, q)=1}}^q (\bar{S}_{\alpha, q})^s e(-N\alpha/q) ; \quad \bar{S}_{\alpha, q} = \frac{1}{q} \sum_{r=1}^q e\left(\frac{r^k \alpha}{q}\right) \quad (7.1)$$

Note $\sigma(N)$ will be closely related to

$$r_q(N) = \{(x_1, \dots, x_s) \in (\mathbb{Z}/q\mathbb{Z})^s ; x_1^k + \dots + x_s^k \equiv N \pmod{q}\}$$

for all $q \in \mathbb{N}$.

Write $\sigma(N) = \sum_{q=1}^{\infty} A(q) ; A(q) = \sum_{(\alpha, q)=1} (\bar{S}_{\alpha, q})^s e(-\frac{\alpha N}{q})$

Lemm 7.1. If $(q_1, q_2) = 1$, then $A(q_1 q_2) = A(q_1) A(q_2)$.

Pf Let $f(\alpha, q) = (\bar{S}_{\alpha, q})^s e(-\alpha N/q)$. First we prove

that if $\frac{a}{q} \equiv \frac{a_1}{q_1} + \frac{a_2}{q_2} \pmod{1}$ with $(a_1, q_1) = (a_2, q_2) = 1$

$$\Leftrightarrow a \equiv a_1 q_2 + a_2 q_1 \pmod{q_1 q_2}$$

$$\Leftrightarrow a \equiv a_1 q_2 \pmod{q_1} \text{ \& } a \equiv a_2 q_1 \pmod{q_2}$$

$$\text{and } q = q_1 q_2$$

then $f(\alpha, q) = f(\alpha_1, q_1) f(\alpha_2, q_2)$. (7.2)

Using the Chinese Rem. Thm $\frac{a}{q}$ is in 1-1 correspondence

$$\text{with pairs } \left(\frac{a_1}{q_1}, \frac{a_2}{q_2} \right)$$

(why?)

$$q \equiv a_1 q_2 + a_2 q_1 \pmod{q_1 q_2}$$

$$a \equiv$$

(2)

Again $\forall x \pmod{q} \exists! x_1 \pmod{q_1}, x_2 \pmod{q_2}$ $\forall 1 \leq x \leq q \exists! 1 \leq x_1 \leq q_1, 1 \leq x_2 \leq q_2$ s.t.

$$\frac{x}{q} \equiv \frac{x_1}{q_1} + \frac{x_2}{q_2} \pmod{1} \Leftrightarrow x \equiv q_2 x_1 + q_1 x_2 \pmod{q_1 q_2}$$

$$\begin{aligned} \text{Then } S_{a,q} &= \sum_{x=1}^q e\left(\frac{a}{q} x^k\right) = \sum_{x_1=1}^{q_1} \sum_{x_2=1}^{q_2} e\left(\frac{a}{q} (q_2 x_1 + q_1 x_2)^k\right) \\ &= \sum_{x_1=1}^{q_1} \sum_{x_2=1}^{q_2} e\left(\frac{a}{q} (q_2^k x_1^k + q_1^k x_2^k)\right) = \\ &= \sum_{x_1=1}^{q_1} \sum_{x_2=1}^{q_2} e\left(\frac{a_1}{q_1} (q_2 x_1)^k\right) e\left(\frac{a_2}{q_2} (q_1 x_2)^k\right) = S_{a_1, q_1} \cdot S_{a_2, q_2} \end{aligned}$$

$$\Rightarrow f(a, q) = f(a_1, q_1) \cdot f(a_2, q_2) \quad \text{as } e\left(-\frac{a}{q} N\right) = e\left(-\frac{a_1}{q_1} N\right) e\left(-\frac{a_2}{q_2} N\right)$$

$$\text{Then } A(q) = \sum_{(a, q)=1} f(a, q) = \sum_{(a_1, q_1)=1} \sum_{(a_2, q_2)=1} f(a_1, q_1) f(a_2, q_2) \Rightarrow$$

$$\text{let } S \gg 2^{k+1}. \quad A(q) = A(q_1) A(q_2)$$

Lemma 7.2. For p prime, let $\chi(p) := 1 + \sum_{r=1}^{\infty} A(p^r)$. □

Then

$$(i) \quad |\chi(p) - 1| \ll p^{-1-\delta}$$

$$(ii) \quad O(N) = \prod_p \chi(p)$$

(3)

Pf We had that $\frac{1}{q} |S(a, q)| \ll q^{-\frac{s}{2k-1}} = q^{-2-\delta}$

thus $\chi(p)^{-1} \ll \sum_{r=1}^{\infty} p^{-(2-\delta)r} \ll p^{-2-\delta}$

$\Rightarrow \prod_{p \in \mathbb{P}} \chi(p)$ converges absolutely.

Since for $n = p_1^{r_1} \cdots p_m^{r_m}$ we have that $A(n) = A(p_1^{r_1}) \cdots A(p_m^{r_m})$,

it follows $\prod_p \left(1 + \sum_{r=1}^{\infty} A(p^r)\right) = \sum_{q=1}^{\infty} A(q)$ \square

Lemma 7.3 Let $M(q) = \# \{(x_1, \dots, x_s) \in (\mathbb{Z}/q\mathbb{Z})^s : x_1^k + \dots + x_s^k \equiv N \pmod{q}\}$

We have $1 + \sum_{r=1}^n A(p^r) = M(p^n) / p^{n(s-1)}$

thus $\chi(p) = \lim_{n \rightarrow \infty} M(p^n) / p^{n(s-1)}$

Pf We prove, more generally, that

$$q^{-(s-1)} M(q) = \sum_{q_1 | q} A(q_1)$$

$$M(q) = \frac{1}{q} \sum_{x_1, \dots, x_s \in \mathbb{Z}/q\mathbb{Z}} \sum_{b \in \mathbb{Z}/q\mathbb{Z}} e\left(\frac{x_1^k + \dots + x_s^k - N - b}{q}\right)$$

To any $1 \leq b \leq q \exists! q_1 | q$ and $1 \leq a_1 \leq q_1$, $(a_1, q_1) = 1$

such that $\frac{b}{q} = \frac{a_1}{q_1}$ (i.e. $\frac{a_1}{q_1}$ is the reduced form of the fraction $\frac{b}{q}$).

ANDE VII
(4)

Thus

$$\begin{aligned} M(q) &= \frac{1}{q} \sum_{q_1 | q} \sum_{(a_1, q_1)=1} \sum_{\substack{x_1, \dots, x_s \\ (\text{mod } q)}} e\left(\frac{a_1}{q_1} (x_1^{k_1} + \dots + x_s^{k_s})\right) e\left(-\frac{a_1}{q_1} N\right) \\ &= \frac{1}{q} \sum_{q_1 | q} \sum_{(a_1, q_1)=1} \left(\sum_{x \pmod{q}} e\left(\frac{a_1}{q_1} x^b\right) \right)^s e\left(-\frac{a_1}{q_1} N\right) \end{aligned}$$

Note, that

$$\sum_{x \pmod{q}} e\left(\frac{a_1}{q_1} x^b\right) = \frac{q}{q_1} \sum_{x \pmod{q_1}} e\left(\frac{a_1}{q_1} x^b\right) = \frac{q}{q_1} S(a_1, q_1)$$

$$\begin{aligned} M(q) &= q^{s-1} \sum_{q_1 | q} \sum_{(a_1, q_1)=1} \left(\frac{1}{q_1} S(a_1, q_1)\right)^s e\left(-\frac{a_1}{q_1} N\right) \\ &= q^{s-1} \sum_{q_1 | q} A(q_1) \end{aligned}$$

□

Note • We expect that $\#\{(x_1, \dots, x_s) \in (\mathbb{Z}/q\mathbb{Z})^s; x_1^{k_1} + \dots + x_s^{k_s} \equiv N \pmod{q}\} \approx q^{s-1}$ ($x_1^{k_1} + \dots + x_s^{k_s}$ can take q possibly different values)

thus $q^{-(s-1)} M(q)$ is the density of solutions $(\text{mod } q)$.

and $\kappa(p)$ is the limit of density of solutions $(\text{mod } p^n)$ as $n \rightarrow \infty$.

• Since $\sum_p |K(p)-1| < \infty$, it follows that

$$\sigma(N) = \prod_p \chi(p) \neq 0 \iff \forall p \chi(p) \neq 0.$$

• We will show if we have a solution to

$$x_1^k + \dots + x_s^k \equiv N \pmod{p^r}$$

s.t. $x_i \not\equiv 0 \pmod{p}$ for some $1 \leq i \leq s$, then $\chi(p) > 0$.

• We need to carefully consider the quantity

$$r = \begin{cases} r+1 & , p^r \parallel k \text{ for } p > 2 \\ r+2 & ; p^r \parallel k \text{ for } p = 2 \end{cases}$$

$$\phi(25) = \phi(5^2) = 5(5-1)$$

$$g^2 \equiv 1 \pmod{5} \quad (5) \quad (r-1)$$

$$(25)$$

Lemma (Lifting) Given $m \not\equiv 0 \pmod{p}$.

If $\exists y : y^k \equiv m \pmod{p^r}$ then $\forall r > r \exists x : x^k \equiv m \pmod{p^r}$

Pf let $r > r$.

$$\phi: \mathbb{Z}_{p^r}^\times \rightarrow \mathbb{Z}_{p^r}^\times \text{ onto}$$

$$g \mapsto g^{(r)}$$

• $p > 2$. Let $\mathbb{Z}_{p^r}^\times :=$ reduced residue classes $\pmod{p^r}$ with "·" (multiplication)

We use the fact that $\mathbb{Z}_{p^r}^\times$ is cyclic, i.e. $\exists g$

$$\text{s.t. } \mathbb{Z}_{p^r}^\times = \{g^a; 1 \leq a \leq \phi(p^r) = p^{r-1}(p-1)\}$$

i.e. g is a primitive root $\pmod{p^r}$

Then g is also a primitive root $\pmod{p^r}$ (why?)

$$\phi: \mathbb{Z}_{p^r}^\times \rightarrow \mathbb{Z}_{p^r}^\times \quad (x \mapsto x \pmod{p^r}) \quad (g, g^2, \dots, g^{p^{r-1}(p-1)}) \text{ all distinct } \pmod{p^r} \Rightarrow (g, g^2, \dots, g^{p^{r-1}(p-1)}) \text{ must be distinct. (mod } p^r)$$

write $y \equiv g^a \pmod{p^v}$, $m \equiv g^b \pmod{p^v} \Rightarrow g^{ak} \equiv g^b \pmod{p^v}$
 $\Leftrightarrow ak \equiv b \pmod{p^{v-1}(p-1)}$

$\Leftrightarrow p^{v-1} ak_0 \equiv b \pmod{p^{v-1}(p-1)}$ as $k = p^{v-1} k_0$

$\Rightarrow p^{v-1} | b$ and $(k_0, p-1) | b \Rightarrow (k, p^{v-1}(p-1)) | b$
(as $ak_0 \equiv b \pmod{p-1}$)
 $\Rightarrow \exists c$ s.t. $ck \equiv b \pmod{p^{v-1}(p-1)}$
 $ak \equiv b \pmod{p^{v-1}(p-1)}$

$ck \equiv b \pmod{p^{v-1}(p-1)}$ b/c $c \frac{k}{d} \equiv \frac{b}{d} \pmod{\frac{q}{d}}$, $q = p^{v-1}(p-1)$
 $(= ck \equiv ab \pmod{p^{v-1}(p-1)})$ $ck' \equiv b' \pmod{q'}$
 $ck_0 \equiv ak_0 \pmod{p-1}$

can be always solved as $(k', q') = 1$.

so $c \equiv (k')^{-1} b' \pmod{q'}$

but $ck \equiv b \pmod{p^{v-1}(p-1)} \Rightarrow g^{ck} \equiv g^b \equiv m \pmod{p^v}$.

• $p=2$. If k is odd then $x^k \equiv m \pmod{2^v}$

can always be solved for $m \not\equiv 0 \pmod{2}$ (i.e. m odd)

$g^{ak} \equiv g^b \pmod{2^v}$ (why?)

$\Leftrightarrow ak \equiv b \pmod{2^{v-1}}$ solvable as $(k, 2) = 1$.

Let $k = 2^\tau k_0$ ($\tau \geq 1$). Then $x^k \equiv 1 \pmod{4}$ for x odd.

Let $G_{2^v} = \{x \pmod{2^v}, x \equiv 1 \pmod{4}\}$, $|G_{2^v}| = 2^{v-2}$

We use the fact that $G_{2^v} = \{5^a \pmod{2^v}; a \in \mathbb{N}\}$

let $y \equiv 5^a \pmod{2^v}$, $m \equiv 5^b \pmod{2^v} \Rightarrow ak \equiv b \pmod{2^{v-2}}$

Since $k = 2^r k_0 = 2^{r-2} k_0 \Rightarrow 2^{r-2} | k \Rightarrow a_0$
 $\Rightarrow \exists c \text{ s.t. } kc \equiv b \pmod{2^{r-2}}$
 as $(k, 2^{r-2}) = 2^{r-2}$

Lemma 7.5. Let r be as before. If \square

$$x_1^k + \dots + x_s^k \equiv N \pmod{p^r}$$

has a solution x_1, \dots, x_s s.t. $p \nmid x_i$ for some i , then $\chi(p) > 0$.

Pf Suppose $a_1^k + \dots + a_s^k \equiv N \pmod{p^r}$ with $a_1 \not\equiv 0 \pmod{p}$
 Construct solutions to $x_1^k + \dots + x_s^k \equiv N \pmod{p^v}$ ($v > k$)
 as follows.

Choose $x_2, \dots, x_s \pmod{p^v}$ arbitrarily, subject to $x_j \equiv a_j \pmod{p^r}$

Then for $m := N - x_2^k + \dots + x_s^k$ we have

that $a_1^k \equiv m \pmod{p^r}$, thus by Lemma 7.4.

$$\exists x_1 : x_1^k \equiv m \pmod{p^v} \Leftrightarrow x_1^k + x_2^k + \dots + x_s^k \equiv N \pmod{p^v}$$

This way we construct at least $p^{(r-k)(s-1)}$ solutions
 thus $\chi(p) \geq p^{(r-k)(s-1)} > 0$