DISTANCES IN DENSE SUBSETS OF \mathbb{Z}^d

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ABSTRACT. In [2] Katznelson and Weiss establish that all sufficiently large distances can always be attained between pairs of points from any given measurable subset of \mathbb{R}^2 of positive upper (Banach) density. A second proof of this result, as well as a stronger "pinned variant", was given by Bourgain in [1] using Fourier analytic methods. In [5] the second author adapted Bourgain's Fourier analytic approach to established a result analogous to that of Katznelson and Weiss for subsets \mathbb{Z}^d provided $d \geq 5$. In this article we establish an optimal strengthening of this discrete distance set result as well as the natural "pinned variant".

1. INTRODUCTION

Recall that upper Banach density δ^* is defined for $A \subseteq \mathbb{Z}^d$ by

$$\delta^*(A) = \lim_{N \to \infty} \sup_{x \in \mathbb{Z}^d} \frac{|A \cap (x + \{1, \dots, N\}^d)|}{N^d}.$$

1.1. Distance sets and existing results. A result of Katznelson and Weiss [2] states that all sufficiently large distances can always be attained between pairs of points from any given measurable subset of \mathbb{R}^2 of positive upper (Banach) density. Specifically, if A is a measurable subset of \mathbb{R}^2 of positive upper (Banach) density, then there exists $\lambda_0 = \lambda_0(A)$ such that the distance set

$$\operatorname{dist}(A) = \{ |x - y| : x, y \in A \} \supseteq [\lambda_0, \infty).$$

This result was later established using Fourier analytic methods by Bourgain in [1]. Bourgain in fact also established a "pinned variant", namely that for any $\lambda_1 \ge \lambda_0$ there is a fixed $x \in A$ such that

$$\operatorname{dist}(A; x) = \{ |x - y| : y \in A \} \supseteq [\lambda_0, \lambda_1].$$

In [5] the second author adapted Bourgain's Fourier analytic approach to established a result analogous to that of Katznelson and Weiss for subsets \mathbb{Z}^d , namely that if $A \subseteq \mathbb{Z}^d$ of positive upper (Banach) density and $d \geq 5$, then there exists $\lambda_0 = \lambda_0(A)$ and an integer q, depending only on the density of A, such that

$$\operatorname{dist}^{2}(A) = \{ |x - y|^{2} : x, y \in A \} \supseteq [\lambda_{0}, \infty) \cap q\mathbb{Z}.$$

Note that the fact that A could fall entirely into a fixed congruence class of some integer $1 \le r \le \delta^*(A)^{-1/d}$ ensures that q must be divisible by the least common multiple of all integers $1 \le r \le \delta^*(A)^{-1/d}$.

1.2. New results. In what follows we will denote the discrete sphere of radius $\sqrt{\lambda}$ by S_{λ} , namely

$$S_{\lambda} := \{ x \in \mathbb{R}^d : |x|^2 = \lambda \} \cap \mathbb{Z}^d \}$$

Our first result is the following optimal strengthening of the discrete distance set result from [5].

Theorem 1 (Optimal Unpinned Distances). Let $\varepsilon > 0$ and $A \subseteq \mathbb{Z}^d$ with $d \ge 5$.

There exist $q = q(\varepsilon)$ and $\lambda_0 = \lambda_0(A, \varepsilon)$ such that for any $\lambda \ge \lambda_0$ there exist $x \in A$ for which

(1)
$$\frac{|A \cap (x+qS_{\lambda})|}{|S_{\lambda}|} > \delta^*(A) - \varepsilon$$

While the main result of this paper is the following (optimal) "pinned variant" of Theorem 1 above, in other words the (optimal) discrete analogue of Bourgain's pinned distances theorem.

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Theorem 2 (Optimal Pinned Distances). Let $\varepsilon > 0$ and $A \subseteq \mathbb{Z}^d$ with $d \ge 5$.

There exist $q = q(\varepsilon)$ and $\lambda_0 = \lambda_0(A, \varepsilon)$ such that for any given $\lambda_1 \ge \lambda_0$ there exists a fixed $x \in A$ such that

(2)
$$\frac{|A \cap (x+qS_{\lambda})|}{|S_{\lambda}|} > \delta^*(A) - \varepsilon \quad for \ all \quad \lambda_0 \le \lambda \le \lambda_1.$$

2. Uniformly Distributed Sets

Definition 1 (Definition of q_{η} and η -uniform distribution). For any $\eta > 0$ we define

(3)
$$q_{\eta} := \operatorname{lcm}\{1 \le q \le C\eta^{-2}\}$$

with C > 0 a (sufficiently) large absolute constant and $A \subseteq \mathbb{Z}^d$ to be η -uniformly distributed (modulo q_η) if its relative upper Banach density on any "residue class" modulo q_η never exceeds $(1 + \eta^2)$ times its density on \mathbb{Z}^d , namely if

$$\delta^*(A \mid s + (q_\eta \mathbb{Z})^d) \le (1 + \eta^2) \,\delta^*(A)$$

holds for all $s \in \{1, \ldots, q_\eta\}^d$.

Theorems 1 and 2 are immediate consequences, via an easy density increment argument, of the following analogous results for uniformly distributed sets.

Theorem 3 (Theorem 1 for Uniformly Distributed Sets). Let $\varepsilon > 0$, $0 < \eta \ll \varepsilon^2$, and $A \subseteq \mathbb{Z}^d$ with $d \ge 5$. If A is η -uniformly distributed, then there exist $\lambda_0 = \lambda_0(A, \varepsilon)$ such that for any $\lambda \ge \lambda_0$ one has

(4)
$$\frac{|A \cap (x+S_{\lambda})|}{|S_{\lambda}|} > \delta^*(A) - \varepsilon \quad for \ some \ x \in A$$

Theorem 4 (Theorem 2 for Uniformly Distributed Sets). Let $\varepsilon > 0$, $0 < \eta \ll \varepsilon^3$, and $A \subseteq \mathbb{Z}^d$ with $d \ge 5$.

If A is η -uniformly distributed, then there exist $\lambda_0 = \lambda_0(A, \varepsilon)$ such that for any given $\lambda_1 \ge \lambda_0$ there exists a fixed $x \in A$ such that

(5)
$$\frac{|A \cap (x + S_{\lambda})|}{|S_{\lambda}|} > \delta^*(A) - \varepsilon \quad for \ all \quad \lambda_0 \le \lambda \le \lambda_1.$$

3. Preliminaries

3.1. Fourier analysis on \mathbb{Z}^d . If $f : \mathbb{Z}^d \to \mathbb{C}$ is a function for which

$$\sum_{x \in \mathbb{Z}^d} |f(x)| < \infty$$

we will say that $f \in \ell^1(\mathbb{Z}^d)$ and define

$$||f||_1 = \sum_{x \in \mathbb{Z}^d} |f(x)|.$$

For $f \in \ell^1$ we define its Fourier transform $\widehat{f} : \mathbb{T}^d \to \mathbb{C}$ by

$$\widehat{f}(\xi) = \sum_{x \in \mathbb{Z}^d} f(x) e^{-2\pi i x \cdot \xi}$$

noting that the summability assumption on f ensures that the series defining \hat{f} converges uniformly to a continuous function on the torus \mathbb{T}^d , which we will freely identify with the unit cube $[0,1)^d$ in \mathbb{R}^d .

Furthermore, Parseval's identity, namely that if $f,g\in\ell^1$ then

$$\langle f,g \rangle := \sum_{x \in \mathbb{Z}^d} f(x) \overline{g(x)} = \int_{\mathbb{T}^d} \widehat{f}(\xi) \overline{\widehat{g}(\xi)} \, d\xi$$

is a simply and immediate consequence of the familiar orthogonality relation

$$\int_{\mathbb{T}^d} e^{2\pi i x \cdot \xi} d\xi = \begin{cases} 1 & \text{if } x = 0\\ 0 & \text{if } x \neq 0 \end{cases}$$

Defining the convolution of f and g to be

$$f \ast g(x) = \sum_{y \in \mathbb{Z}^d} f(x - y)g(y)$$

it follows that if $f, g \in \ell^1$ then $f * g \in \ell^1$ with

$$||f * g||_1 \le ||f||_1 ||g||_1$$
 and $\widehat{f * g} = \widehat{f} \widehat{g}$.

Finally, we recall following consequence of the Poisson Summation Formula, namely that if ψ is a Schwartz function on \mathbb{R}^d , then

(6)
$$\widehat{\psi}(\xi) = \sum_{y \in \mathbb{Z}^d} \widetilde{\psi}(\xi - y)$$

where

(7)
$$\widetilde{\psi}(\xi) = \int_{\mathbb{R}^d} \psi(x) e^{-2\pi i x \cdot \xi} \, dx$$

denotes the Fourier transform on \mathbb{R}^d of ψ .

3.2. Counting differences in S_{λ} . Let $A \subseteq B_N$, where $B_N \subseteq \mathbb{Z}^d$ denotes some arbitrary translate of the cube $\{1, \ldots, N\}^d$, and recall that we are denoting the discrete sphere of radius $\sqrt{\lambda}$ by S_{λ} , namely

$$S_{\lambda} := \{x \in \mathbb{R}^d : |x|^2 = \lambda\} \cap \mathbb{Z}^d$$

It is easy to verify, using the properties of the Fourier transform discussed above, that

(8)
$$\sum_{x \in A} \frac{|A \cap (x + S_{\lambda})|}{|S_{\lambda}|} = \langle 1_A, \mathcal{A}_{\lambda}(1_A) \rangle = \int |\widehat{1_A}(\xi)|^2 \widehat{\sigma_{\lambda}}(\xi) \, d\xi$$

where $\mathcal{A}_{\lambda}(f)(x)$ denotes the spherical average

(9)
$$\mathcal{A}_{\lambda}(f)(x) := f * \sigma_{\lambda}(x) = \frac{1}{|S_{\lambda}|} \sum_{y \in S_{\lambda}} f(x - y).$$

3.3. Exponential sum estimates. In light of (8) we will naturally be interested estimates for the Fourier transform of the surface measure σ_{λ} , namely

(10)
$$\widehat{\sigma_{\lambda}}(\xi) := \frac{1}{|S_{\lambda}|} \sum_{x \in S_{\lambda}} e^{-2\pi i x \cdot \xi}.$$

It is clear that whenever $|\xi|^2 \ll \lambda^{-1}$ there can be no cancellation in the exponential sum (10), in fact it is easy to verify that the same is also true whenever ξ is *close* to a rational point with *small* denominator. The following Proposition is a precise formulation of the fact that this is the only obstruction to cancellation.

Proposition 1 (Key exponential sum estimates, Proposition 1 in [5]). Let $\eta > 0$. If $\lambda \ge C\eta^{-4}$ and

$$\xi \notin \left(q_{\eta}^{-1}\mathbb{Z}\right)^d + \{\xi \in \mathbb{R}^d : |\xi|^2 \le \eta^{-1}\lambda^{-1}\},\$$

then

$$\left|\frac{1}{|S_{\lambda}|}\sum_{x\in S_{\lambda}}e^{-2\pi ix\cdot\xi}\right| \leq \eta.$$

3.4. Smooth cutoff functions. It will be convenient to introduce a smooth function $\psi_{q,L}$ whose Fourier transform (on \mathbb{Z}^d) will serve as a substitute for the characteristic function of the set

$$\mathfrak{M}_{q,L} = (q^{-1}\mathbb{Z})^d + \{\xi \in \mathbb{R}^d : |\xi| \le L^{-1}\}.$$

Towards this end, let $\psi : \mathbb{R}^d \to (0, \infty)$ be a Schwartz function satisfying

$$1 = \widetilde{\psi}(0) \ge \widetilde{\psi}(\xi) \ge 0 \qquad \text{and} \qquad \widetilde{\psi}(\xi) = 0 \ \text{ for } |\xi| > 1$$

where $\widetilde{\psi}$ denotes the Fourier transform (on \mathbb{R}^d) of ψ . For a given $q \in \mathbb{N}$ and $L \ge q$ we define

(11)
$$\psi_{q,L}(x) = \begin{cases} \left(\frac{q}{L}\right)^d \psi\left(\frac{x}{L}\right) & \text{ if } x = (q\mathbb{Z})^d \\ 0 & \text{ otherwise} \end{cases}$$

It follows from the Poisson summation formula that the Fourier transform (on \mathbb{Z}^d) of $\psi_{q,L}$ takes the form

(12)
$$\widehat{\psi}_{q,L}(\xi) = \sum_{\ell \in \mathbb{Z}^d} \widetilde{\psi} \left(L \left(\xi - \frac{\ell}{q} \right) \right)$$

and is supported on $\mathfrak{M}_{q,L}$.

3.5. Properties of $\psi_{q,L}$ and $\hat{\psi}_{q,L}$. We first note that since $\tilde{\psi}$ is compactly supported and $q \leq L$, it follows from (12) that

$$\sum_{x \in \mathbb{Z}^d} \psi_{q,L}(x) = \widehat{\psi}_{q,L}(0) = \sum_{\ell \in \mathbb{Z}^d} \widetilde{\psi}(\ell L/q) = \widetilde{\psi}(0) = 1.$$

We next make the simple but important observation that ψ may be chosen so that for any $\eta > 0$, the function $1 - \widehat{\psi}_{q,L}$ will be essentially supported on the complement of $\mathfrak{M}_{q,\eta^{-1}L}$ in the sense that

(13)
$$\left|1 - \widehat{\psi}_{q,L}(\xi)\right| \ll \eta$$

whenever $\xi \in \mathfrak{M}_{q,\eta^{-1}L}$.

Finally we record a precise formulation of the fact that $\psi_{q,L}$ is essentially supported on a box of size $\eta^{-1}L$ and is approximately constant on smaller scales.

Lemma 1. Let $\eta > 0$ and $1 \le q \le L$, then

(14)
$$\sum_{|x| \ge \eta^{-1}L} \psi_{q,L}(x) \ll \eta.$$

and

(15)
$$\|\chi_{q,L} * \psi_{q,L_1} - \psi_{q,L_1}\|_1 \ll \eta$$

whenever $L_1 \ge \eta^{-1}L$, where

(16)
$$\chi_{q,L}(x) = \begin{cases} \left(\frac{q}{L}\right)^d & \text{if } x \in (q\mathbb{Z})^d \cap \left[-\frac{L}{2}, \frac{L}{2}\right]^d \\ 0 & \text{otherwise} \end{cases}$$

Proof. Estimate (14) is easily verified using the fact that ψ is a Schwartz function on \mathbb{R}^d as

$$\sum_{|x| \ge \eta^{-1}L} \psi_{q,L}(x) = \left(\frac{q}{L}\right)^d \sum_{\substack{\ell \in \mathbb{Z}^d \\ |\ell| \ge \eta^{-1}L/q}} \psi(\ell q/L) \ll \left(\frac{q}{L}\right)^d \sum_{\substack{\ell \in \mathbb{Z}^d \\ |\ell| \ge \eta^{-1}L/q}} \left(1 + \frac{|\ell|q}{L}\right)^{-d-1} \ll \eta.$$

To verify estimate (15) we make use of the fact that both ψ and its derivative are rapidly decreasing, specifically

$$\begin{aligned} \|\chi_{q,L} * \psi_{q,L_1} - \psi_{q,L_1}\|_1 &\leq \left(\frac{q}{L}\right)^d \left(\frac{q}{L_1}\right)^d \sum_{x \in (q\mathbb{Z})^d} \sum_{y \in (q\mathbb{Z})^d \cap \left[-\frac{L}{2}, \frac{L}{2}\right]^d} \left|\psi\left(\frac{x-y}{L_1}\right) - \psi\left(\frac{x}{L_1}\right)\right| \\ &\leq \frac{L}{L_1} \left(\frac{q}{L_1}\right)^d \sum_{x \in (q\mathbb{Z})^d} \left(1 + \frac{|x|}{L_1}\right)^{-d-1} \leq \frac{L}{L_1}. \end{aligned}$$

4. Reducing Theorems 3 and 4 to Key Dichotomy Propositions

First a definition.

Definition 2 (Definition of (η, L) -uniform distribution). Let N be a large positive integer and $B_N \subseteq \mathbb{Z}^d$ denotes some arbitrary translate of the cube $\{1, \ldots, N\}^d$. For any $\eta > 0$ and positive integer L with the property that $q_\eta |L|N$ we define $A \subseteq B_N$ to be (η, L) -uniformly distributed if

$$\frac{|A \cap B_L \cap (s + (q_\eta \mathbb{Z})^d)|}{(L/q_\eta)^d} \le (1 + \eta^2) \frac{|A|}{N^d}$$

holds for all $s \in \{1, \ldots, q_\eta\}^d$ and each sub-cube B_L in the partition of the original cube B_N into $(N/L)^d$ sub-cubes each of "sidelength" L.

4.1. **Dichotomy Propositions.** As with the second author's approach in [5], itself adapted from [1], we will deduce Theorems 3 and 4 as consequences of the following quantitative finite versions.

Proposition 2 (Dichotomy for Theorem 3). Let $\varepsilon > 0$, $0 < \eta \ll \varepsilon^2$, and (L, N) be a pair of integers such that $q_{\eta}|L|N$. If $A \subseteq B_N \subseteq \mathbb{Z}^d$ with $d \ge 5$ is (η, L) -uniformly distributed, then for all integers λ satisfying $\eta^{-4}L^2 \le \lambda \le \eta^{11}N^2$ one of the following statements must hold:

(i) there exists $x \in A$ such that

$$\frac{|A \cap (x + S_{\lambda})|}{|S_{\lambda}|} > \frac{|A|}{N^d} - \varepsilon$$

(ii)

$$\frac{1}{|A|}\int_{\Omega_\lambda}|\widehat{1_A}(\xi)|^2\,d\xi\gg\varepsilon$$

where $\Omega_{\lambda} = \Omega_{\lambda}(\eta, q_{\eta})$ denotes the set theoretic sum $(q_{\eta}^{-1}\mathbb{Z})^d + \{\xi \in \mathbb{R}^d : \eta^2 \lambda^{-1} \le |\xi|^2 \le \eta^{-2}\lambda^{-1}\}.$

Proposition 3 (Dichotomy for Theorem 4). Let $\varepsilon > 0$, $0 < \eta \ll \varepsilon^3$, and $A \subseteq B_N \subseteq \mathbb{Z}^d$ with $d \ge 5$.

If A is (η, L) -uniformly distributed (this implicitly assumes that $q_{\eta}|L|N$), then for all integer pairs (λ_0, λ_1) that satisfy $\eta^{-4}L^2 \leq \lambda_0 \leq \lambda_1 \leq \eta^{11}N^2$ one of the following statements must hold:

(i) there exists $x \in A$ with the property that one has

$$\frac{|A \cap (x + S_{\lambda})|}{|S_{\lambda}|} > \frac{|A|}{N^d} - \varepsilon \quad for \ all \quad \lambda_0 \le \lambda \le \lambda_1$$

(ii)

$$\frac{1}{|A|} \int_{\Omega_{\lambda_0,\lambda_1}} |\widehat{\mathbf{1}_A}(\xi)|^2 d\xi \gg \varepsilon^2$$

where $\Omega_{\lambda_0,\lambda_1} = \Omega_{\lambda_0,\lambda_1}(\eta, q_\eta) = \left(q_\eta^{-1}\mathbb{Z}\right)^d + \{\xi \in \mathbb{R}^d : \eta^2 \lambda_1^{-1} \le |\xi|^2 \le \eta^{-2} \lambda_0^{-1}\}.$

4.2. The Proof of Theorems 3 and 4. We naturally start with a short Lemma relating our two notions of uniform distribution.

Lemma 2. If $A \subseteq \mathbb{Z}^d$ is η -uniformly distributed. Then there exists a constant $L(A, \eta)$ such that for every positive integer $L \ge L(A, \eta)$ satisfying $q_{\eta}|L$ the following holds: There exist arbitrarily large positive integers N satisfying L|N such that

(i)
$$\frac{|A \cap B_N|}{N^d} \ge \delta^*(A) - \varepsilon/2$$
 and (ii) $A \cap B_N$ is $(2\eta, L)$ -uniformly distributed

hold simultaneously for some cube B_N .

Proof. By our assumption there exists a positive integer $L(A, \eta)$ such that if $L' = L(A, \eta)$, then

$$\delta(A|(s+(q_{\eta}\mathbb{Z})^{d})\cap B_{L'}) := \frac{|A\cap(s+(q_{\eta}\mathbb{Z})^{d})\cap B_{L'}|}{|(s+(q_{\eta}\mathbb{Z})^{d})\cap B_{L'}|} \le (1+2\eta^{2})\,\delta^{*}(A)$$

for any $s \in \{1, \ldots, q_\eta\}^d$ and any cube $B_{L'}$ of size L'. Let $L \ge L'$ such that $q_\eta | L$.

Now choose any cube $B_{N'}$ of size $N' \gg \varepsilon^{-1} \eta^{-2} L$ such that $\delta(A|B_{N'}) \geq \delta^*(A)(1 - \varepsilon \eta^2/20)$. Choosing $N' \leq N \leq N' + L$ one can ensure L|N and $\delta(A|B_N) \geq \delta^*(A)(1 - \varepsilon \eta^2/10)$, thus (i) holds (easily). To see (ii) note that $|(s + (q_\eta \mathbb{Z})^k) \cap B_L| = (L/q_\eta)^d$ and for $A' := A \cap B_N$ and any cube $B_L \subset B_N$ of size L we have

$$\frac{|A' \cap (s + (q_\eta \mathbb{Z})^d) \cap B_L|}{(L/q_\eta)^d} \le (1 + 3\eta^2/2) \,\delta^*(A) \le (1 + 2\eta^2)(1 - \varepsilon\eta^2/10)^{-1} \frac{|A'|}{N^d} \le (1 + 4\eta^2) \frac{|A'|}{N^d}. \qquad \Box$$

4.2.1. Proof that Proposition 2 implies Theorem 3. Let $\varepsilon > 0$ and $0 < \eta \ll \varepsilon^2$. Suppose that $A \subseteq \mathbb{Z}^d$ with $d \ge 5$ is an η -uniformly distributed set for which the conclusion of Theorem 3 fails to hold, namely that there exists arbitrarily large integers λ for which

$$\frac{|A \cap (x + S_{\lambda})|}{|S_{\lambda}|} \le \delta^*(A) - \varepsilon$$

for all $x \in A$. For a fixed integer $J \gg \varepsilon^{-1}$ we choose a sequence $\{\lambda^{(j)}\}_{j=1}^{J}$ of such λ 's with the property that $\lambda^{(1)} \geq \eta^{-4}L^2$, $\lambda^{(j)} \leq \eta^4 \lambda^{(j+1)}$ for $1 \leq j < J$, and $\lambda^{(J)} \leq \eta^{11}N^2$ with L and N satisfying the conclusion of Lemma 2. From Lemma 2 we obtain a set $A \cap B_N$, which we will abuse notation and denote by A.

An application Proposition 2 thus allows us to conclude that for this set one must have

(17)
$$\sum_{j=1}^{J} \frac{1}{|A|} \int_{\Omega_{\lambda(j)}} |\widehat{1}_{A}(\xi)|^{2} d\xi \gg J\varepsilon > 1.$$

On the other hand it follows from the disjointness property of the sets $\Omega_{\lambda^{(j)}}$, which we guaranteed by our initial choice of sequence $\{\lambda^{(j)}\}$, and Plancherel that

(18)
$$\sum_{j=1}^{J} \frac{1}{|A|} \int_{\Omega_{\lambda(j)}} |\widehat{\mathbf{1}_{A}}(\xi)|^{2} d\xi \leq \frac{1}{|A|} \int_{\mathbb{T}^{d}} |\widehat{\mathbf{1}_{A}}(\xi)|^{2} d\xi = 1$$

giving a contradiction.

4.2.2. Proof that Proposition 3 implies Theorem 4. Let $\varepsilon > 0$ and $0 < \eta \ll \varepsilon^3$. Suppose that $A \subseteq \mathbb{Z}^d$ with $d \ge 5$ is an η -uniformly distributed set for which the conclusion of Theorem 4 fails to hold, namely that there exists arbitrarily large integer pairs (λ_0, λ_1) such that for all $x \in A$

$$\frac{|A \cap (x + S_{\lambda})|}{|S_{\lambda}|} \le \delta^*(A) - \varepsilon$$

for some $\lambda_0 \leq \lambda \leq \lambda_1$.

For a fixed integer $J \gg \varepsilon^{-2}$ we choose a sequence of such pairs $\{(\lambda_0^{(j)}, \lambda_1^{(j)})\}_{j=1}^J$ with the property that $\lambda_0^{(1)} \ge \eta^{-4}L^2$, $\lambda_0^{(j)} \le \eta^4 \lambda_1^{(j+1)}$ for $1 \le j < J$, and $\lambda_1^{(J)} \le \eta^{11}N^2$ with L and N satisfying the conclusion of Lemma 2. From Lemma 2 we obtain a set $A \cap B_N$, which we will abuse notation and denote by A.

An application Proposition 3 thus allows us to conclude that for this set one must have

(19)
$$\sum_{j=1}^{J} \frac{1}{|A|} \int_{\Omega_{\lambda_{0}^{(j)},\lambda_{1}^{(j)}}} |\widehat{1}_{A}(\xi)|^{2} d\xi \gg J\varepsilon^{2} > 1.$$

On the other hand it follows from the disjointness property of the sets $\Omega_{\lambda_0^{(j)},\lambda_1^{(j)}}$, which we guaranteed by our initial choice of pair sequence $\{(\lambda_0^{(j)},\lambda_1^{(j)})\}$, and Plancherel that

(20)
$$\sum_{j=1}^{J} \frac{1}{|A|} \int_{\Omega_{\lambda_{0}^{(j)},\lambda_{1}^{(j)}}} |\widehat{\mathbf{1}}_{A}(\xi)|^{2} d\xi \leq \frac{1}{|A|} \int_{\mathbb{T}^{d}} |\widehat{\mathbf{1}}_{A}(\xi)|^{2} d\xi = 1$$

giving a contradiction.

5. Proof of Proposition 2

Let $f = 1_A$ and $\delta = |A|/N^d$. Suppose that $\eta^{-4}L^2 \leq \lambda \leq \eta^{11}N^2$ and that (i) does not hold, then

(21)
$$\langle f, \mathcal{A}_{\lambda}(f) \rangle \leq \langle f, \delta - \varepsilon \rangle = (\delta - \varepsilon)|A|$$

We now define

(22)
$$f_1 = f * \psi_{q_\eta, L_1}$$
 and $f_2 = f * \psi_{q_\eta, L_2}$

with $L_1 = \eta^{-1/2} \lambda^{1/2}$ and $L_2 = \eta \lambda^{1/2}$. Since

(23)
$$\left|\widehat{\psi}_{q_{\eta},L_{2}}(\xi) - \widehat{\psi}_{q_{\eta},L_{1}}(\xi)\right| \ll \eta^{1/2}$$

whenever $\xi \notin \Omega_{\lambda} = \mathfrak{M}_{q_{\eta},L_2} \setminus \mathfrak{M}_{q_{\eta},\eta^{-1/2}L_1}$, the proof of Proposition 2 is therefore reduced (via Parseval) to showing that if (21) holds, then

(24)
$$|\langle f, \mathcal{A}_{\lambda}(f_2 - f_1) \rangle| \gg \varepsilon |A|.$$

The observation that

$$\langle f, \mathcal{A}_{\lambda}(f_2 - f_1) \rangle | \ge |\langle f, \mathcal{A}_{\lambda}(f_1) \rangle| - \langle f, \mathcal{A}_{\lambda}(f) \rangle - |\langle f, \mathcal{A}_{\lambda}(f - f_2) \rangle$$

further reduces the entire argument to

Lemma 3 (Main term). If
$$f_1 := f * \psi_{q_{\eta}, L_1}$$
 with $L_1 = \eta^{-1/2} \lambda^{1/2}$, then $|\langle f, \mathcal{A}_{\lambda}(f_1) \rangle| \ge (\delta - C\eta^{1/2})|A|$.
Lemma 4 (Error term). If $f_2 := f * \psi_{q_{\eta}, L_2}$ with $L_2 = \eta \lambda^{1/2}$, then $|\langle f, \mathcal{A}_{\lambda}(f - f_2) \rangle| \le \eta^{1/2}|A|$.

Proof of Lemma 3. Since A is (η, L) -uniformly distributed it follows that $f * \chi_{q,L}(x) \leq \delta(1 + \eta^2)$ for all $x \in \mathbb{Z}^d$.

As $L_1 \ge \eta^{-5/2} L$ and $\eta^{1/2} \ll \delta$ it further follows from the properties of $\psi_{q,L}$ discussed in Section 3.5 that

$$f_1(x) = f * \psi_{q,L_1}(x) \le f * \chi_{q,L} * \psi_{q,L_1}(x) + |f * (\psi_{q,L_1} - \chi_{q,L} * \psi_{q,L_1})(x) \\ \le \delta(1 + \eta^2) + C\eta^{5/2} \le \delta(1 + C\eta^2).$$

Let $N' = N + \eta^{-5/2}L_1$ and let $B_{N'}$ be a cube of size N' centered at the same point as B_N . As f is supported on B_N and $\eta^{1/2} \ll \delta$ we have

(25)
$$\sum_{x \in B_N} f_1(x) = \sum_{x \in \mathbb{Z}^k} f_1(x) - \sum_{x \notin B_{N'}} f_1(x) - \sum_{x \in B_{N'} \setminus B_N} f_1(x) \ge \delta(1 - C\eta^2) |B_N|.$$

Indeed, since $N \gg \eta^{-5}L_1$ we have

$$\frac{|B_{N'} \setminus B_N|}{|B_N|} \ll \left(\frac{N'}{N} - 1\right) \ll \eta^{-5/2} \frac{L_1}{N} \ll \eta^{5/2}$$

while from (14) we have

$$\sum_{x \notin B_{N'}} f_1(x) \le \sum_{|y| \gg \eta^{-5/2} L_1} \psi_{q,L_1}(y) \sum_x f(x-y) \le C \, \eta^{5/2} |B_N|.$$

We now define the set

 $E := \{ x \in B_N; f_1(x) \le \delta - C\eta \}.$

From estimate (25) it follows that

$$\delta(1 - C\eta^2)|B_N| \le \sum_{x \in E} f_1(x) + \sum_{x \in B_N \setminus E} f_1(x) \le |E|(\delta - C\eta) + (|B_N| - |E|)\delta(1 + C\eta^2)$$

and hence that $|E| \leq C\eta \, \delta |B_N| = C\eta |A|$. Using the bound

$$f_1(x) \ge \delta - C\eta - 1_E(x)$$

for $x \in B_N$ it follows that

$$\langle f, \mathcal{A}_{\lambda}(f_1) \rangle \geq \langle f, \delta - C\eta \rangle - |\langle f, \mathcal{A}_{\lambda}(1_E) \rangle| \geq (\delta - C\eta) |A| - |\langle f, \mathcal{A}_{\lambda}(1_E) \rangle|.$$

The result follows via an application of Cauchy-Schwarz and the ℓ^2 boundedness of the operator \mathcal{A}_{λ} , namely that

$$\sum_{x \in \mathbb{Z}^d} |\mathcal{A}_{\lambda}(g)(x)|^2 \le C \sum_{x \in \mathbb{Z}^d} |g(x)|^2$$

for any $g \in L^2$, which is an immediate consequence of Plancherel and the fact that $|\widehat{\sigma_{\lambda}}(\xi)| \leq 1$ for all $\xi \in \mathbb{T}^d$. Indeed, with $g = 1_E$, we thus obtain

$$|\langle f, \mathcal{A}_{\lambda}(1_E) \rangle| \le \left(\sum_{x \in B_N} f(x)^2\right)^{1/2} \left(\sum_{x \in B_N} 1_E(x)\right)^{1/2} \le |A|^{1/2} |E|^{1/2} \le \eta^{1/2} |A|.$$

Proof of Lemma 4. Note that

$$|\langle f, \mathcal{A}_{\lambda}(f-f_2)\rangle| \leq \int |\widehat{f}(\xi)|^2 |1 - \widehat{\psi}_{q_{\eta}, L_2}(\xi)| \, |\widehat{\sigma_{\lambda}}(\xi)| \, d\xi.$$

Now Proposition 1 ensures that

$$|\widehat{\sigma_{\lambda}}(\xi)| \le \eta$$

for all $\xi \notin \mathfrak{M}_{q_n,\eta^{-1/2}L_2}$ and ψ was constructed so that

(26)
$$\left|1 - \widehat{\psi}_{q_{\eta}, L_2}(\xi)\right| \ll \eta^{1/2}$$

whenever $\xi \in \mathfrak{M}_{q_n,\eta^{-1/2}L_2}$. The result follows via Plancherel as $|\widehat{\sigma_{\lambda}}(\xi)| \leq 1$ for all $\xi \in \mathbb{T}^d$.

6. Proof of Proposition 3

Suppose that we have a pair (λ_0, λ_1) satisfying $\eta^{-4}L^2 \leq \lambda_0 \leq \lambda_1 \leq \eta^{11}N^2$, but for which (i) does not hold. It follows that there must exist $\lambda_0 \leq \lambda \leq \lambda_1$ such that

$$\langle f, \mathcal{A}_{\lambda}(f) \rangle \leq (\delta - \varepsilon) |A|$$

and hence that

(27)
$$\langle f, \mathcal{A}_*(1-f) \rangle \ge (1-\delta+\varepsilon/2)|A|$$

where $1 = 1_{B_N}$ and for any function $g : \mathbb{Z}^d \to \mathbb{C}$, $\mathcal{A}_*(g)$ denotes the discrete spherical maximal function defined by

$$\mathcal{A}_*(g)(x) := \sup_{\lambda_0 \le \lambda \le \lambda_1} |\mathcal{A}_\lambda(g)(x)|.$$

Proposition 4 (ℓ^2 -Boundedness of the Discrete Spherical Maximal Function [6]). If $d \ge 5$, then

$$\sum_{x \in \mathbb{Z}^d} |\mathcal{A}_*(g)(x)|^2 \le C \sum_{x \in \mathbb{Z}^d} |g(x)|^2.$$

In light of Proposition 4, the proof of Proposition 3 reduces (via Cauchy-Schwarz and Plancherel) to showing that if (27) holds, then

(28)
$$|\langle f, \mathcal{A}_*(f_2 - f_1) \rangle| \gg \varepsilon |A|$$

with $f_1 = f * \psi_{q_{\eta},L_1}$ and $f_2 = f * \psi_{q_{\eta},L_2}$, where now $L_1 = \eta^{-1/2} \lambda_1^{1/2}$ and $L_2 = \eta \lambda_0^{1/2}$.

Since

$$|\langle f, \mathcal{A}_*(f_2 - f_1) \rangle| \ge |\langle f, \mathcal{A}_*(1 - f) \rangle| - \langle f, \mathcal{A}_*(1 - f_1) \rangle - |\langle f, \mathcal{A}_*(f - f_2) \rangle|$$

the whole argument reduces to

Lemma 5 (Main term). If
$$f_1 := f * \psi_{q_{\eta}, L_1}$$
 with $L_1 = \eta^{-1/2} \lambda_1^{1/2}$, then
 $|\langle f, \mathcal{A}_*(1-f_1) \rangle| \le (1-\delta + C\eta^{1/2})|A|.$

Lemma 6 (Error term). If $f_2 := f * \psi_{q_\eta, L_2}$ with $L_2 = \eta \lambda_0^{1/2}$, then $|\langle f, \mathcal{A}_*(f - f_2) \rangle| \le C \eta^{1/3} |A|$.

6.1. Proof of Lemma 5. We use the lower bound

$$f_1(x) \ge \delta - C\eta - 1_E(x)$$

for $x \in B_N$ together with the bound $|E| \leq C\eta \, \delta |B_N|$ proved in Lemma 3. Then, as in the proof of Lemma 3, we obtain

$$|\langle f, \mathcal{A}_*(1-f_1)\rangle| \le (1-\delta+C\eta)|A| + |\langle f, \mathcal{A}_*(1_E)\rangle|.$$

The result follows via an application of Cauchy-Schwarz and Proposition 4 since

$$|\langle f, \mathcal{A}_*(1_E) \rangle| \le \left(\sum_{x \in B_N} f(x)^2\right)^{1/2} \left(\sum_{x \in B_N} 1_E(x)\right)^{1/2} \le |A|^{1/2} |E|^{1/2} \le \eta^{1/2} |A|.$$

6.2. Proof of Lemma 6. Note that

$$\mathcal{A}_*(f-f_2) = \sup_{\lambda_0 \le \lambda \le \lambda_1} |(f - \psi_{q_\eta, L_2} * f) * \sigma_\lambda| = \sup_{\lambda_0 \le \lambda \le \lambda_1} |f * (\sigma_\lambda - \sigma_\lambda * \psi_{q_\eta, L_2})| =: \mathcal{A}_{*,\eta}(f)$$

where the maximal operator $\mathcal{A}_{*,\eta}$ corresponds to the "mollified" multiplier $\widehat{\sigma}_{\lambda,\eta} := \widehat{\sigma}_{\lambda}(1 - \widehat{\psi}_{q_{\eta},L_2})$. Thus in order to prove the Lemma 6 it is suffices establish the following proposition.

Proposition 5 (ℓ^2 -Decay of the "Mollified" Discrete Spherical Maximal Function). Let $f \in \ell^2$, then for any $\eta > 0$ we have

(29)
$$\sum_{x \in \mathbb{Z}^d} |\mathcal{A}_{*,\eta}(f)(x)|^2 \le C\eta^{2/3} \sum_{x \in \mathbb{Z}^d} |f(x)|^2.$$

Proof of Proposition 5. We follow the proof of Proposition 4 given in [6]. For each $x \in \mathbb{Z}^d$ we now define

(30)
$$\widetilde{\mathcal{A}}_{\lambda}f(x) = \mathcal{A}_{\lambda^2}f(x)$$

noting that $\mathcal{A}_*f(x) = \sup_{\lambda_0^{1/2} \le \lambda \le \lambda_1^{1/2}} \widetilde{\mathcal{A}}_{\lambda}f(x) =: \widetilde{\mathcal{A}}_*f(x) \text{ and } \widetilde{\mathcal{A}}_{*,\eta}f(x) = \widetilde{\mathcal{A}}_*(f - f_2)(x).$

 \sim

We now recall the approximation to $\widetilde{\mathcal{A}}_{\lambda}$ given in Section 3 of [6] as a convolution operator \mathcal{M}_{λ} acting on functions on \mathbb{Z}^d of the form

(31)
$$\mathcal{M}_{\lambda} = c_d \sum_{q=1}^{\infty} \sum_{\substack{1 \le a \le q \\ (a,q)=1}} e^{-2\pi i \lambda a/q} \mathcal{M}_{\lambda}^{a/q}$$

where for each reduced fraction a/q the corresponding convolution operator $\mathcal{M}_{\lambda}^{a/q}$ has Fourier multiplier

(32)
$$m_{\lambda}^{a/q}(\xi) := \sum_{\ell \in \mathbb{Z}^k} G(a/q, \ell) \varphi_q(\xi - \ell/q) \widetilde{\sigma}_{\lambda}(\xi - \ell/q)$$

with $\varphi_q(\xi) = \varphi(q\xi)$ a standard smooth cut-off function, G(a/q, l) a normalized Gauss sum, and $\tilde{\sigma}_{\lambda}(\xi) = \tilde{\sigma}(\lambda\xi)$ where $\tilde{\sigma}(\xi)$ is the Fourier transform (on \mathbb{R}^d) of the measure on the unit sphere in \mathbb{R}^d induced by Lebesgue measure and normalized to have total mass 1. By Proposition 4.1 in [6] we have

(33)
$$\left\|\sup_{\Lambda \le \lambda \le 2\Lambda} |\widetilde{\mathcal{A}}_{\lambda}(f) - \mathcal{M}_{\lambda}(f)|\right\|_{\ell^{2}(\mathbb{Z}^{d})} \le C\Lambda^{-1/2} \|f\|_{\ell^{2}(\mathbb{Z}^{d})}$$

provided $d \ge 5$. Writing $\mathcal{M}_*(f) := \sup_{\lambda_0^{1/2} \le \lambda \le \lambda_1^{1/2}} |\mathcal{M}_\lambda(f)|$ and $\mathcal{M}_{*,\eta}(f) := \mathcal{M}_*(f - f_2)$, this implies

(34)
$$\|\widetilde{\mathcal{A}}_{*,\eta}(f) - \mathcal{M}_{*,\eta}(f)\|_{\ell^2} = \|\widetilde{\mathcal{A}}_*(f - f_2) - \mathcal{M}_*(f - f_2)\|_{\ell^2} \le C\lambda_0^{-1/4} \|f - f_2\|_{\ell^2} \le C\lambda_0^{-1/4} \|f\|_{\ell^2}.$$

Thus by choosing $\lambda_0 \gg \eta^{-4}$ matters reduce to showing (29) for the operator $\mathcal{M}_{*,\eta}.$

For a given reduced fraction a/q define the maximal operator

(35)
$$\mathcal{M}^{a/q}_{*}(f) := \sup_{\lambda_0^{1/2} \le \lambda \le \lambda_1^{1/2}} |\mathcal{M}^{a/q}_{\lambda}(f)|,$$

where $\mathcal{M}_{\lambda}^{a/q}$ is the convolution operator with multiplier $m_{\lambda}^{a/q}(\xi)$. It is proved in Lemma 3.1 of [6] that (36) $\|\mathcal{M}_{*}^{a/q}(f)\|_{\ell^{2}} \leq Cq^{-d/2}\|f\|_{\ell^{2}}.$ We will show here that if $q \leq C\eta^{-2/3}$, then

(37)
$$\|\mathcal{M}_*^{a/q}(f-f_2)\|_{\ell^2} \le C\eta^{1/3}q^{-d/2}\|f\|_{\ell^2}.$$

Taking estimates (36) and (37) for granted, one obtains

(38)
$$\|\mathcal{M}_*(f-f_2)\|_{\ell^2} \ll \left(\eta^{1/3} \sum_{1 \le q \le C\eta^{-2/3}} q^{-d/2+1} + \sum_{q \ge C\eta^{-2/3}} q^{-d/2+1}\right) \|f\|_{\ell^2} \ll \eta^{1/3} \|f\|_{\ell^2}$$

as required. It thus remains to prove (37).

Writing $\varphi_q(\xi) = \varphi'_q(\xi)\varphi_q(\xi)$, with a suitable smooth cut-off function φ' , we can introduce the decomposition

(39)
$$m_{\lambda}^{a/q}(\xi) = \left(\sum_{\ell \in \mathbb{Z}^k} G(a/q, \ell)\varphi_q'(\xi - \ell/q)\right) \left(\sum_{\ell \in \mathbb{Z}^k} \varphi_q(\xi - \ell/q)\widetilde{\sigma}(\xi - \ell/q)\right) =: g^{a/q}(\xi) n_{\lambda}^q(\xi),$$

since for each ξ at most one term in each of the above sums is non-vanishing. Accordingly

(40)
$$\mathcal{M}_{*}^{a/q}(f - f_2) = G^{a/q} \, \mathcal{N}_{*}^{q}(f - f_2)$$

where the maximal operator \mathcal{N}^q_* and the convolution operator $G_{a/q}$ correspond to the multipliers n^q_{λ} and $g^{a/q}$ respectively. Now by the standard Gauss sum estimate we have $|g^{a/q}(\xi)| \ll q^{-d/2}$ uniformly in ξ , hence

(41)
$$\|G^{a/q} \mathcal{N}^q_*(f-f_2)\|_{\ell^2} \ll q^{-d/2} \|\mathcal{N}^q_*(f-f_2)\|_{\ell^2}.$$

Thus by our choice $q_{\eta} := \operatorname{lcm}\{1 \leq q \leq C\eta^{-2}\}$ it remains to show that if q divides q_{η} then

(42)
$$\|\mathcal{N}^q_*(f-f_2)\|_{\ell^2} \ll \eta^{1/3} \|f\|_{\ell^2}.$$

As before we may write $\mathcal{N}^q_{*,\eta}(f) = \mathcal{N}^q_*(f-f_2)$, and note that this is a maximal operator with multiplier

(43)
$$n_{\lambda}^{q}(\xi)(1-\widehat{\psi}_{q_{\eta},L_{2}})(\xi) = \sum_{\ell \in \mathbb{Z}^{d}} \varphi_{q}(\xi-\ell/q)(1-\widehat{\psi}_{q_{\eta},L_{2}})(\xi-\ell/q)\widetilde{\sigma}_{\lambda}(\xi-\ell/q)$$

For a fixed q, the multiplier $\varphi_q(1-\hat{\psi}_{q_\eta,L_2})\tilde{\sigma}_{\lambda}$ is supported on the cube $[-\frac{1}{2q},\frac{1}{2q}]^d$ thus by Corollary 2.1 in [6]

$$\|\mathcal{N}_{*,\eta}^{q}\|_{\ell^{2} \to \ell^{2}} \leq C \,\|\mathcal{N}_{*,\eta}^{q}\|_{L^{2} \to L^{2}}$$

where the latter is the maximal operator corresponding to the multipliers $\varphi_q(1-\hat{\psi}_{q_\eta,L_2})\tilde{\sigma}_{\lambda}$, for $\lambda_0^{1/2} \leq \lambda \leq \lambda_1^{1/2}$, acting on $L^2(\mathbb{R}^d)$. By the definition of the function $\psi_{q,L}$

$$1 - \widehat{\psi}_{q_{\eta, L_2}}(\xi) | \ll \min\{1, L_2|\xi|\}$$

thus from Theorem 6.1 (with j = 1) in [3] we obtain

$$\|\widetilde{\mathcal{N}}_{*,\eta}^{q}\|_{L^{2}\to L^{2}} \ll \left(\frac{L_{2}}{\lambda_{0}^{1/2}}\right)^{1/3} \ll \eta^{1/3}$$

which establishes (42) and completes the proof.

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